

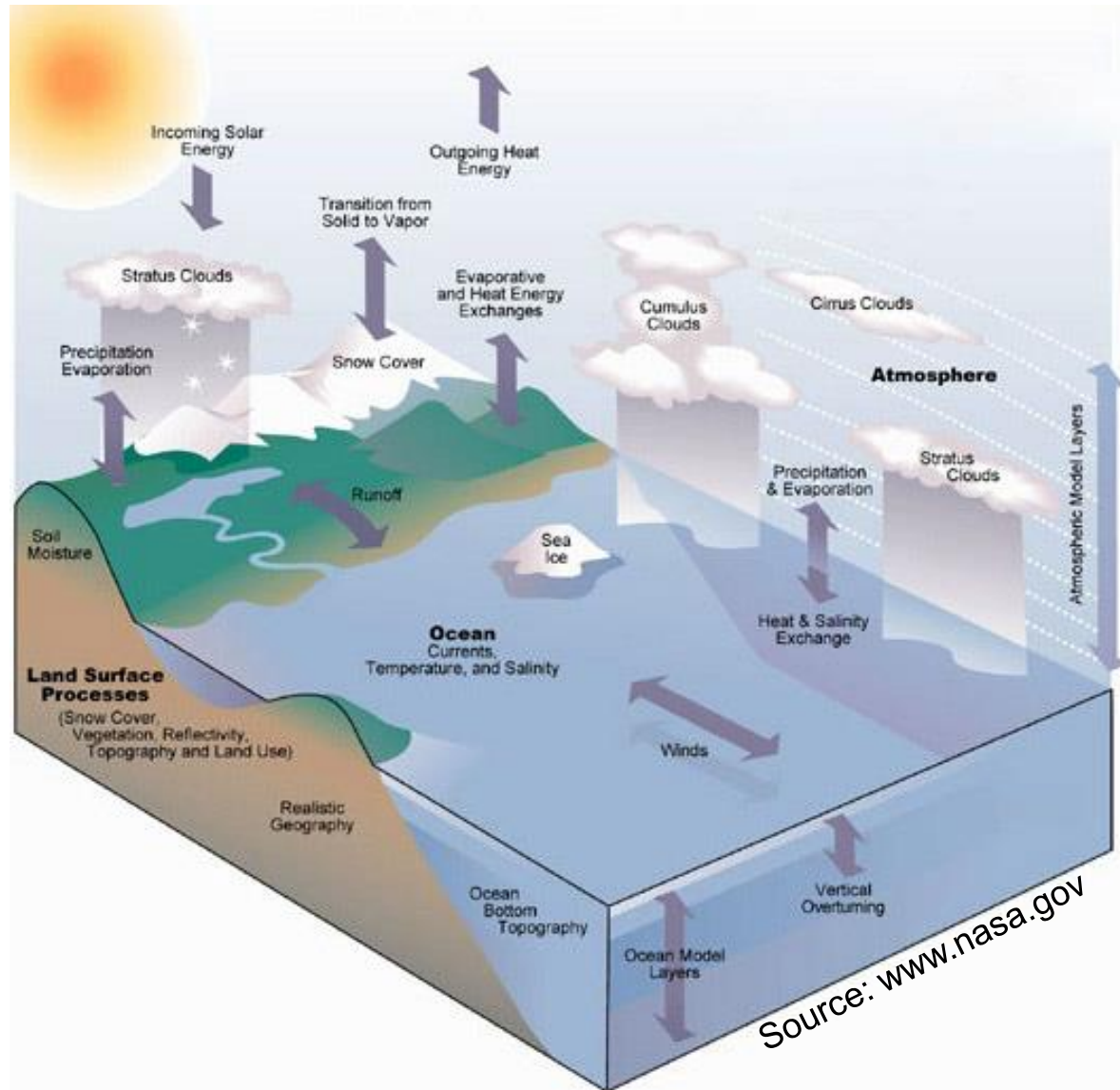


# **The concept of physical budget constraints**

ESA-OHF meeting, 07.12.2017

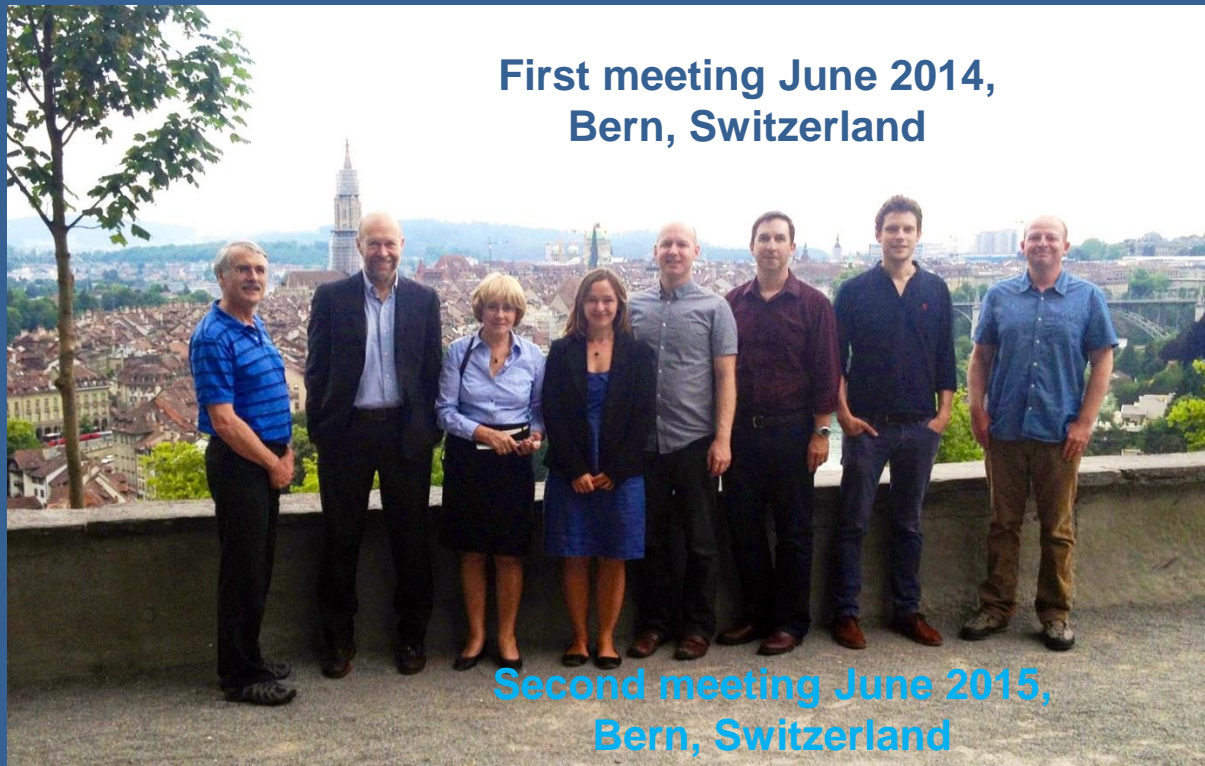
# EARTH CLIMATE.

A practical way to monitor climate variations at different time scales is to continually assess the transfer of energy in the Earth system.



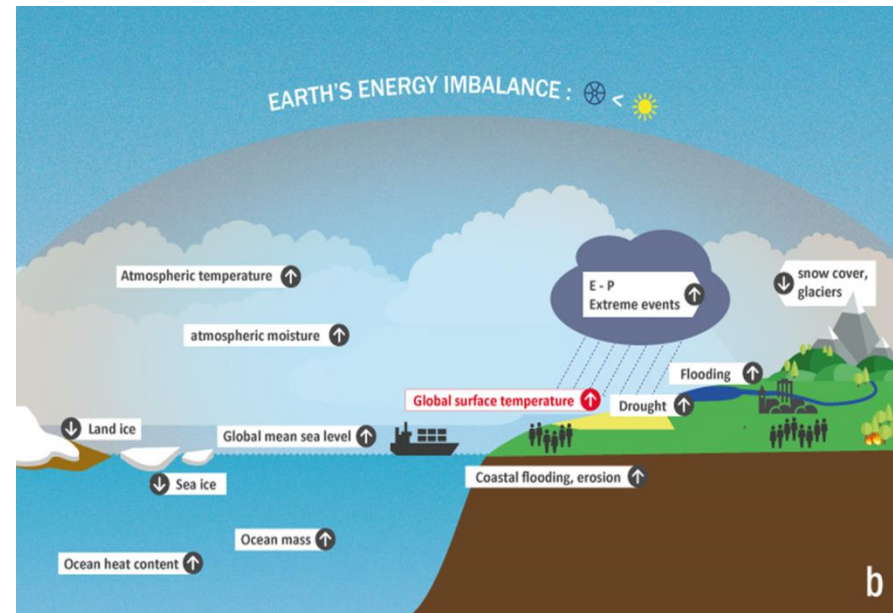
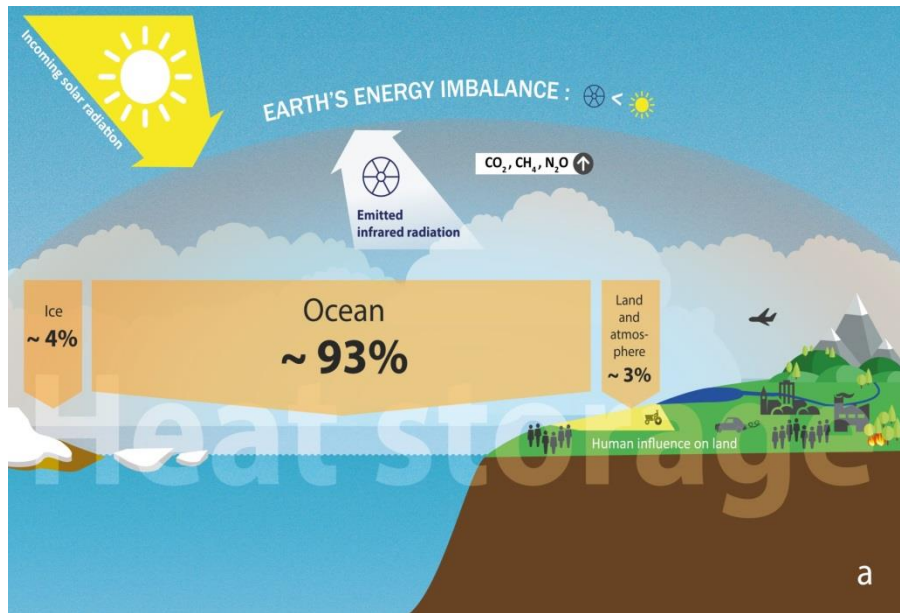
## ISSI working group: “Consistency of Integrated Observing Systems monitoring the energy flows in the Earth System”

“The absolute measure of the Earth Energy Imbalance and its changes over time are vital pieces of information related to climate change as this is the single quantity defining the status of global climate change and expectations for continued global warming. “

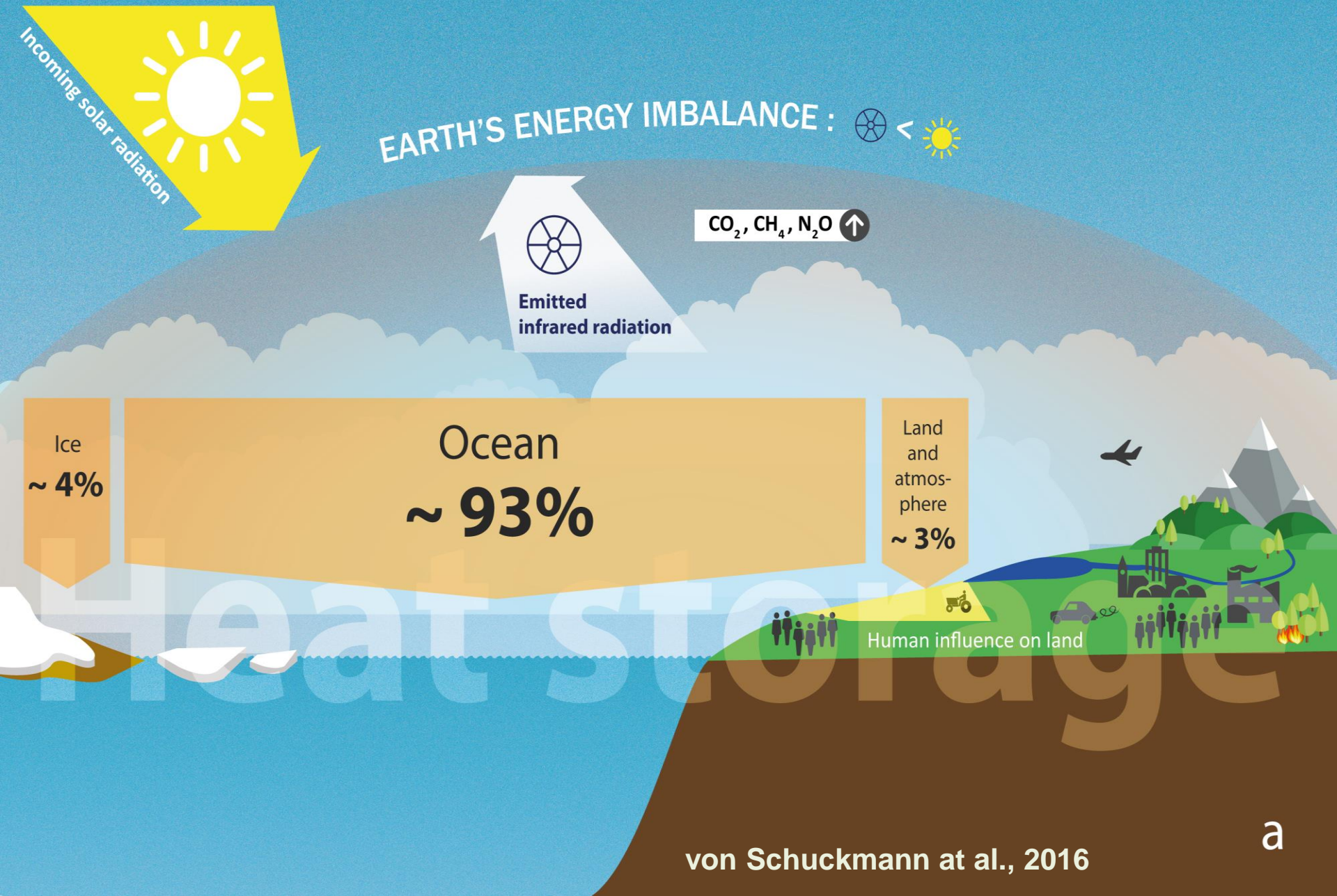


# An imperative to monitor Earth's energy imbalance

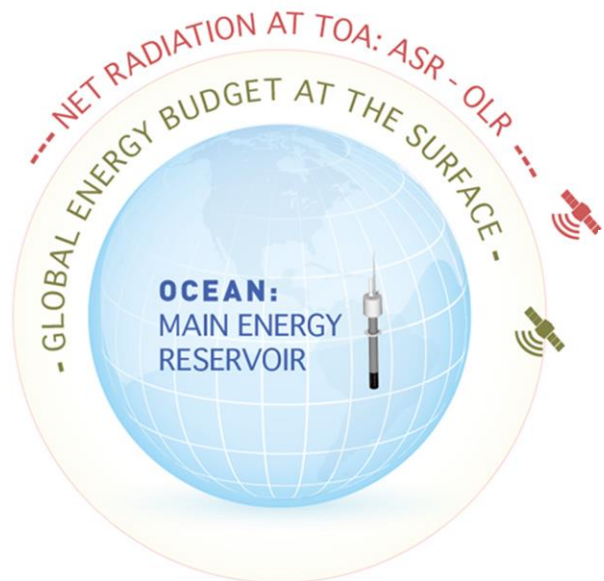
K. von Schuckmann<sup>1,2\*</sup>, M. D. Palmer<sup>3</sup>, K. E. Trenberth<sup>4</sup>, A. Cazenave<sup>5,6</sup>, D. Chambers<sup>7</sup>, N. Champollion<sup>6</sup>, J. Hansen<sup>8</sup>, S. A. Josey<sup>9</sup>, N. Loeb<sup>10</sup>, P.-P. Mathieu<sup>11</sup>, B. Meyssignac<sup>5</sup> and M. Wild<sup>12</sup>



# EARTH ENERGY IMBALANCE

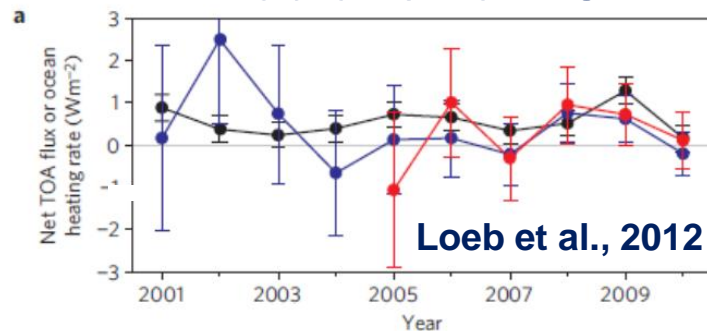


# Determining Earth's energy imbalance: Three methods

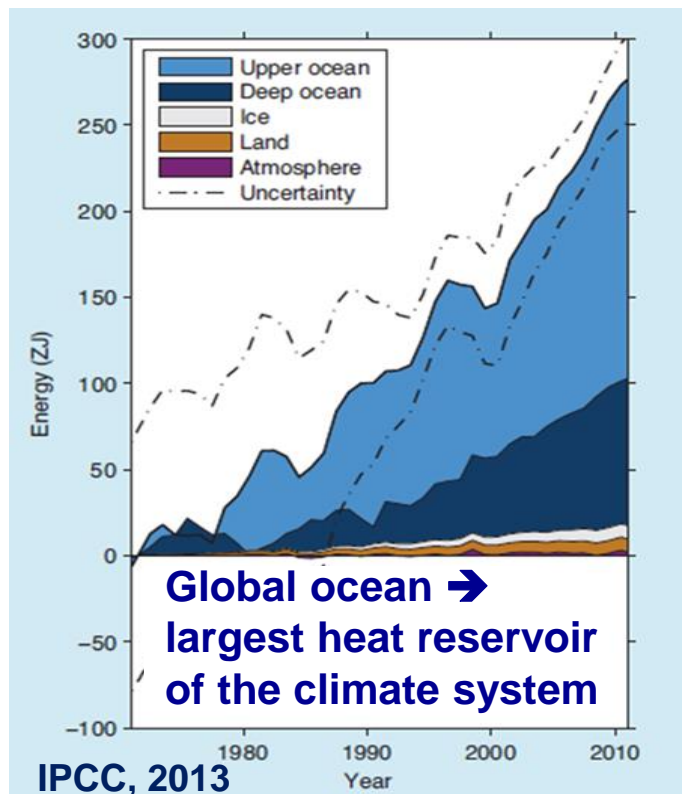


## METHOD I

### Radiation at TOA

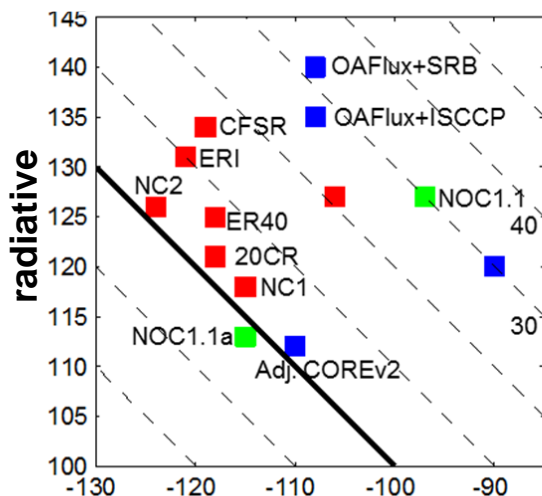


### Ocean Heat Content



## METHOD II

### Air-Sea fluxes



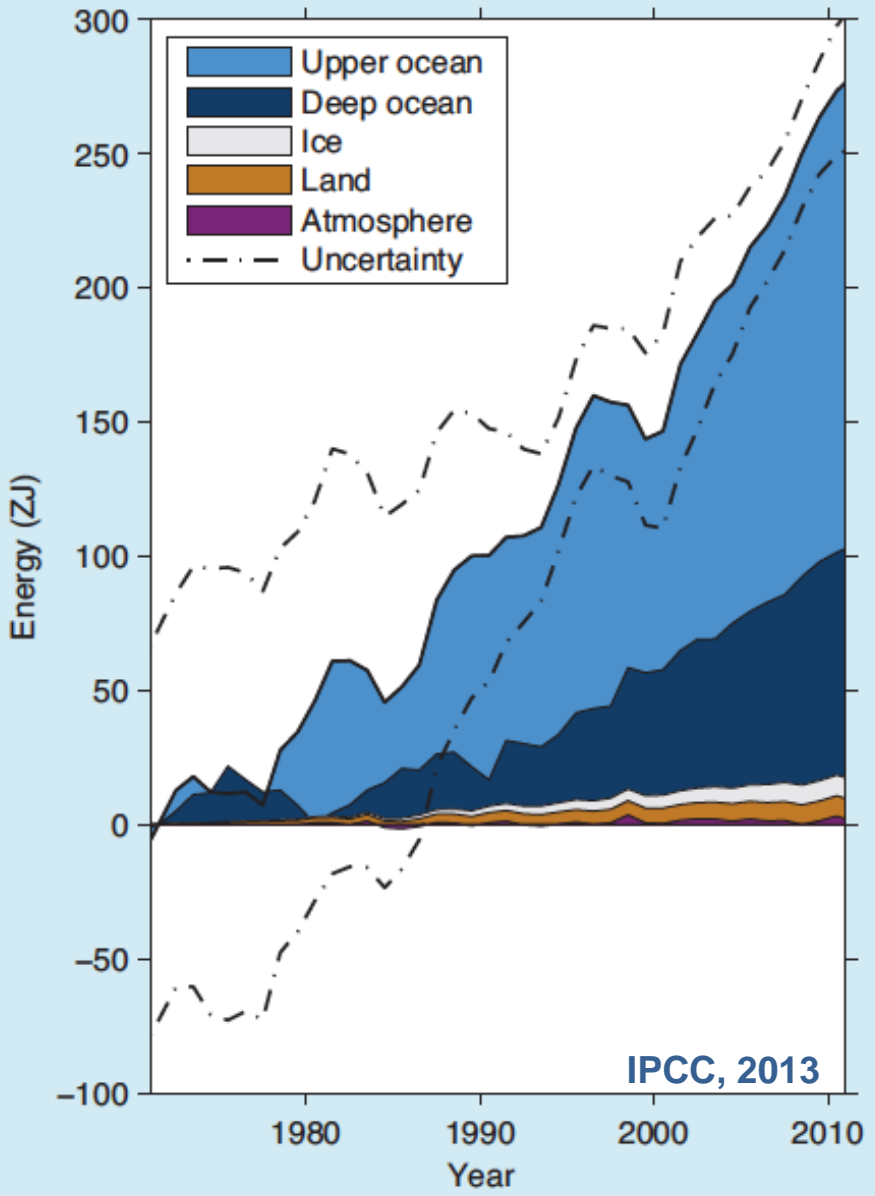
Josey et al., 2015

## METHOD III

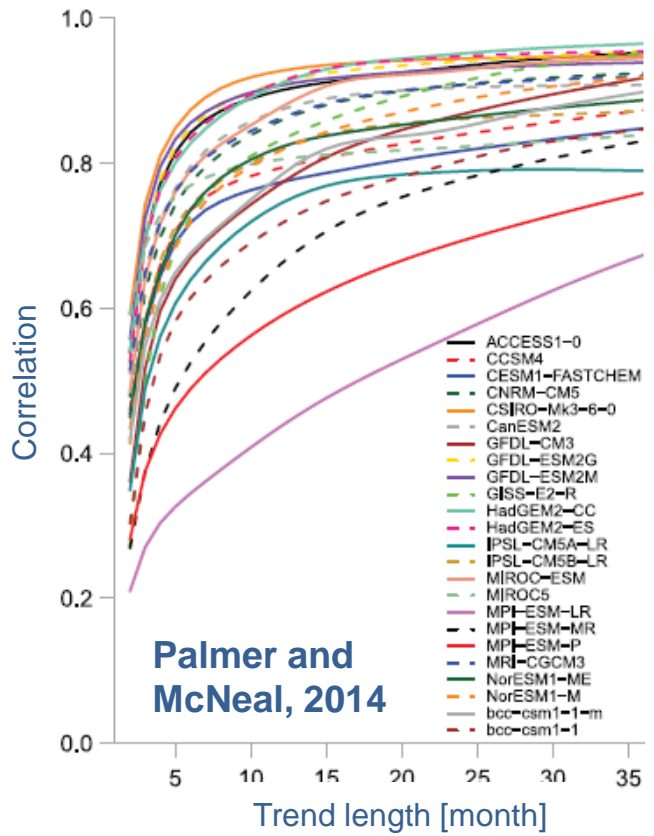
# The Earth energy imbalance can best be estimated from changes in ocean heat content, complemented by radiation measurements from space

(von Schuckmann et al., 2016, NCC)

**METHOD II**



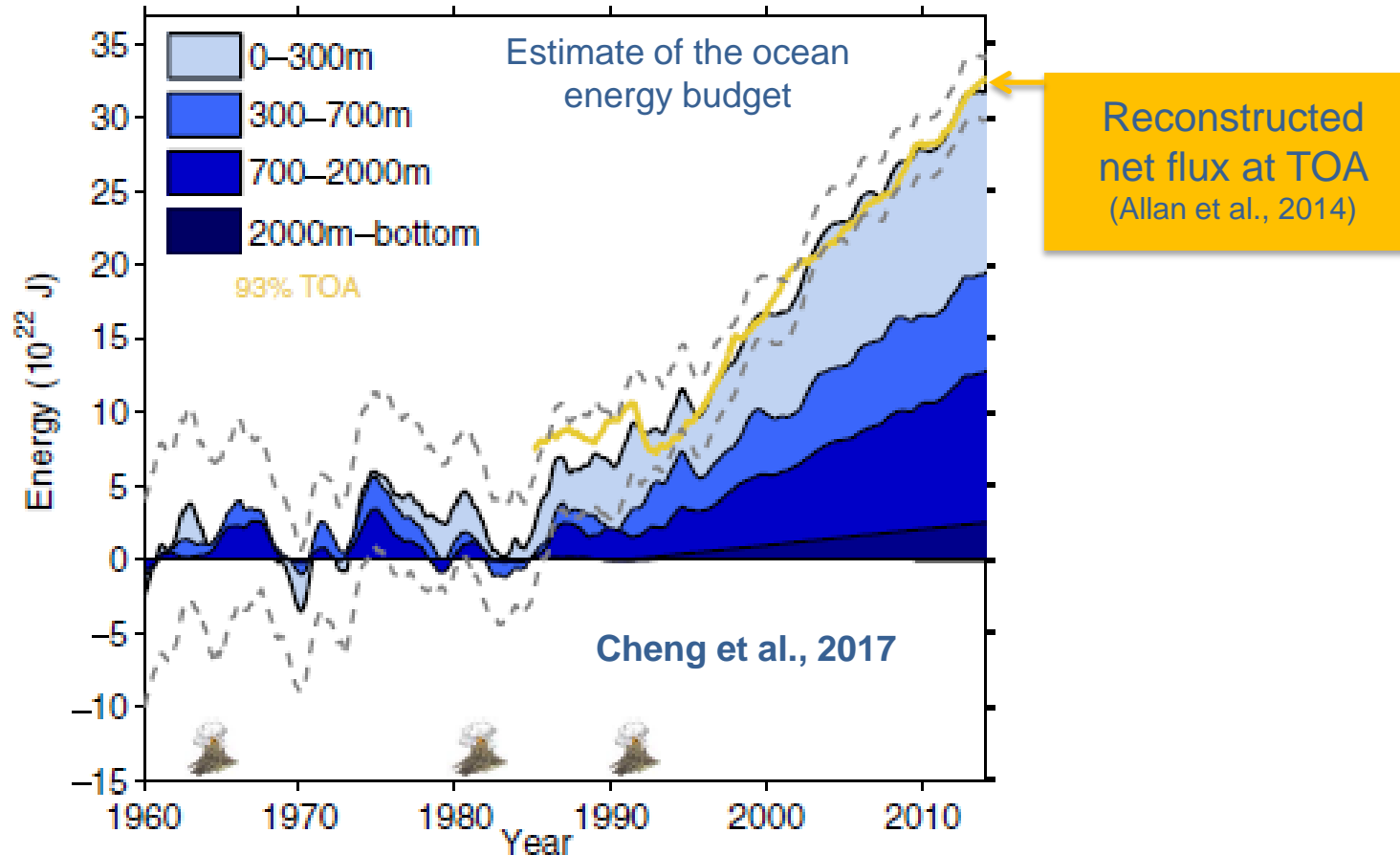
## Correlation in total system energy & ocean heat content as a function of trend length



Climate models suggest that the global ocean becomes the dominant term in the Earth's energy budget on **timescales longer than about 1 year**

## METHOD II: Close correspondence: Rate of ocean heat content change and net flux at TOA

Change in **TOA net radiation** and **rate of global ocean heat storage** from independent global climate observing systems should be **in phase** and of the **same magnitude** on annual and longer time scales (e.g. Loeb et al., 2012)



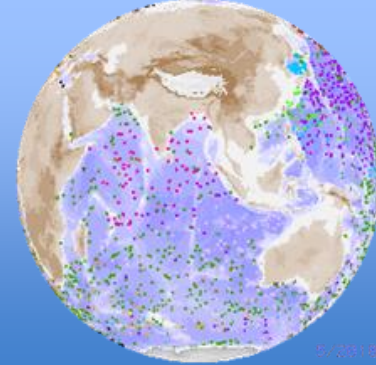
**All other forms** of heat storage are **factors of 10 smaller** at that time scale (Trenberth et al., 2009; Loeb et al., 2012, Palmer and Mc Neal 2014, von Schuckmann et al., 2016).



# Methods for global Ocean Heat Content (OHC) estimates

1

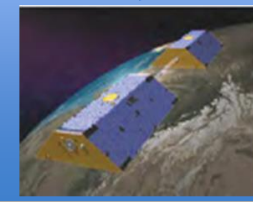
## DIRECT ESTIMATES



2

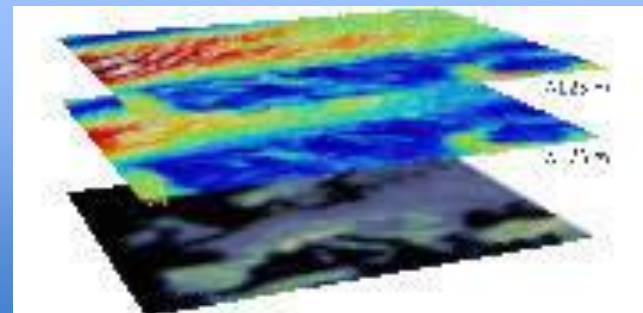
## INDIRECT ESTIMATES

$$SL_{\text{steric}} = SL_{\text{total}} - SL_{\text{mass}}$$



3

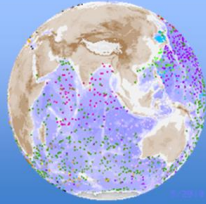
## OCEAN REANALYSES



# Methods for global Ocean Heat Content (OHC) estimates

1

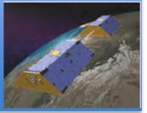
DIRECT ESTIMATES



2

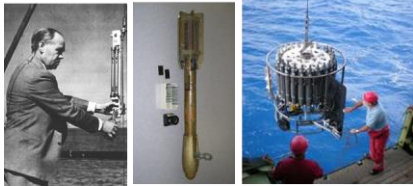
INDIRECT ESTIMATES

$$SL_{steric} = SL_{total} - SL_{mass}$$

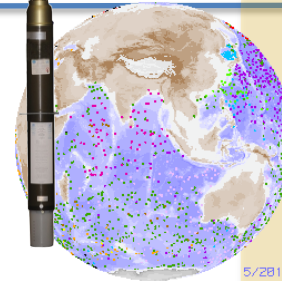


< 1950

In situ



2000



5/2018

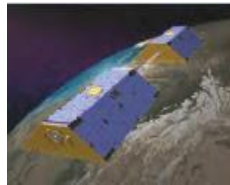


Altimetry

1993 onwards

TOA net flux

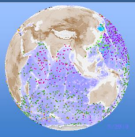
2000 onwards



Ocean mass

2002 onwards

Golden period

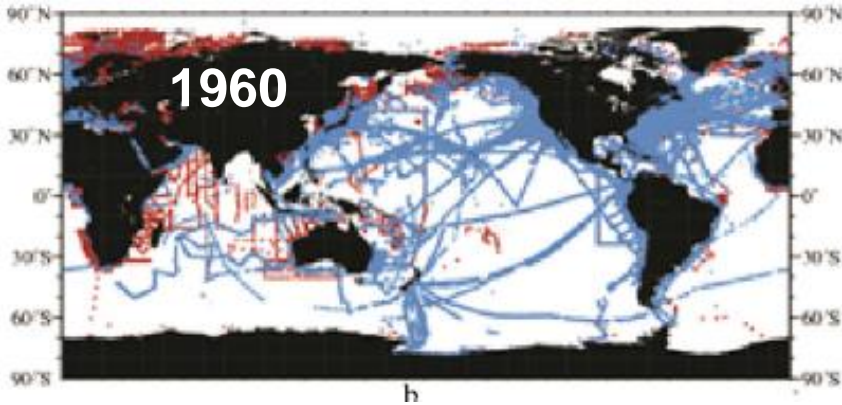


< 1950

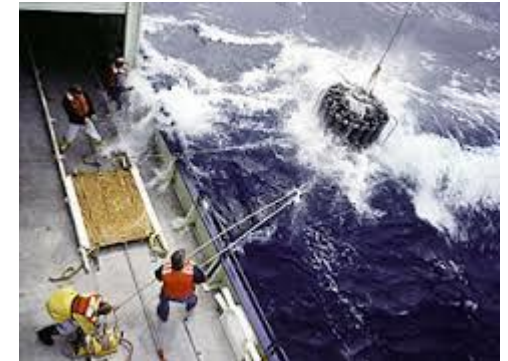
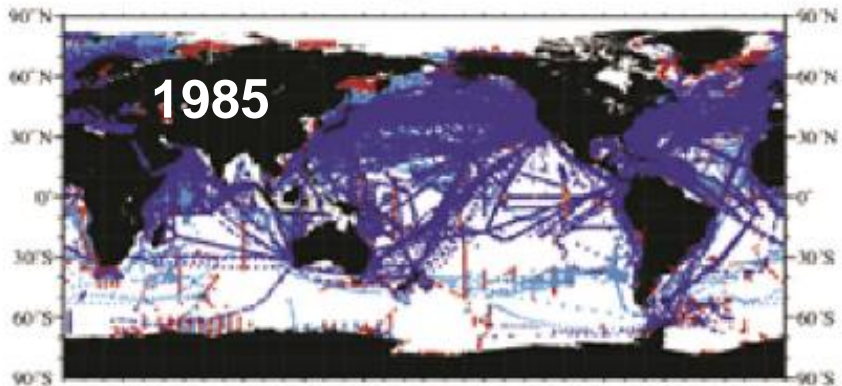
2000

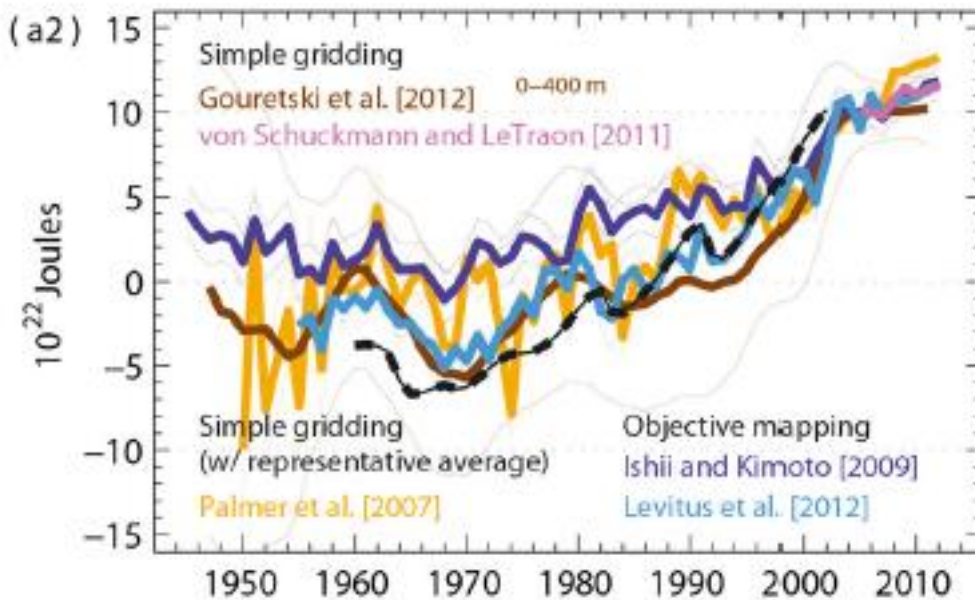
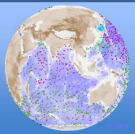
In situ

Era of historical measurements

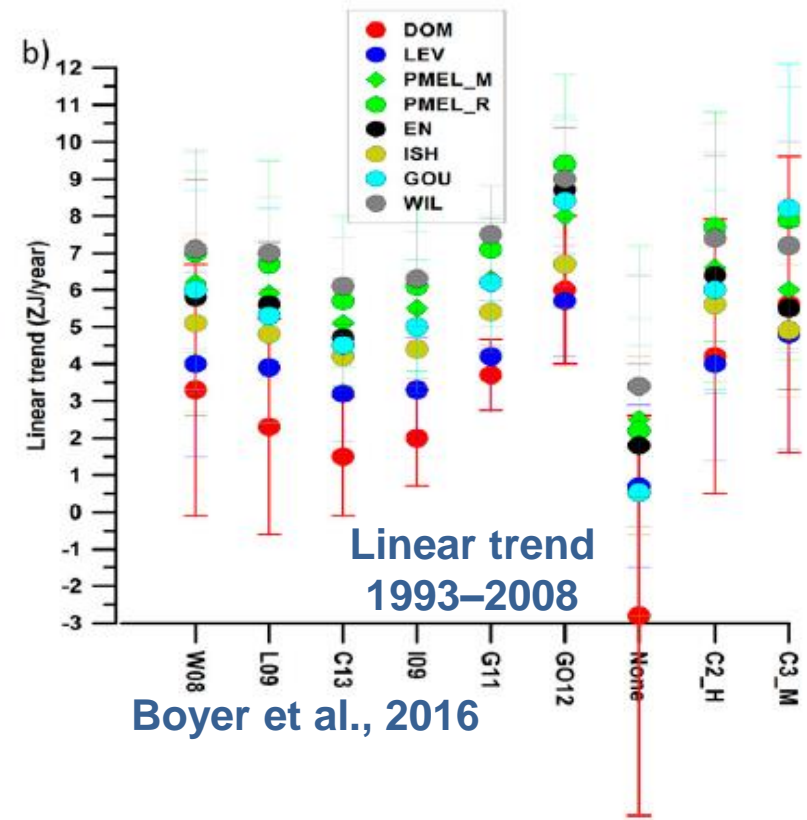


Abraham et al., 2013



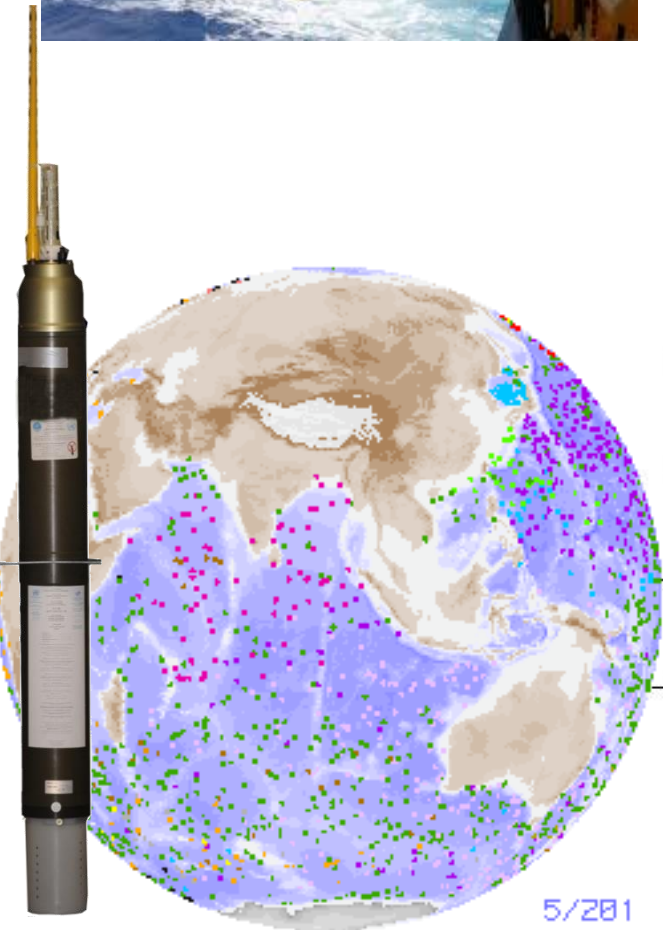
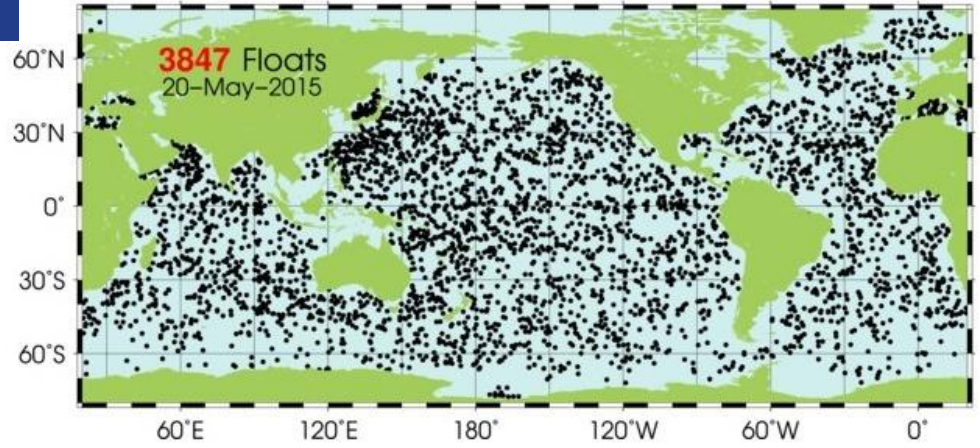
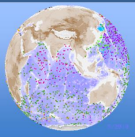


Abraham et al., 2013

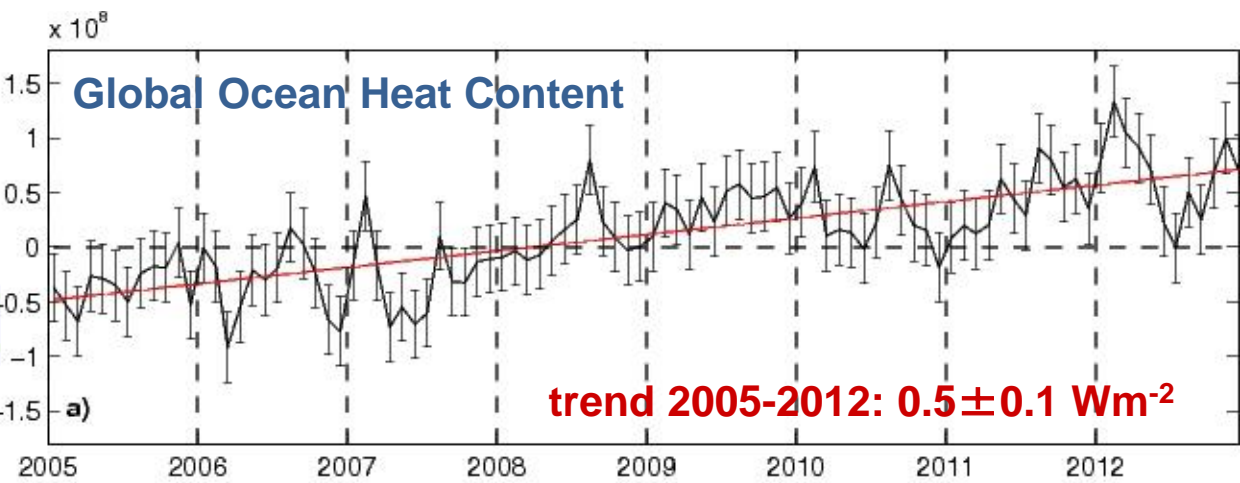


Boyer et al., 2016

- Differences in upper-ocean heat storage between analyses due to mapping, bias correction, baseline climatology & data quality (→ [www.iquod.org](http://www.iquod.org))
- Differences in “interannual to decadal variability” between analyses.
- All estimates show a multi-decadal increase in OHC in both, upper and deep ocean regions.



5/281

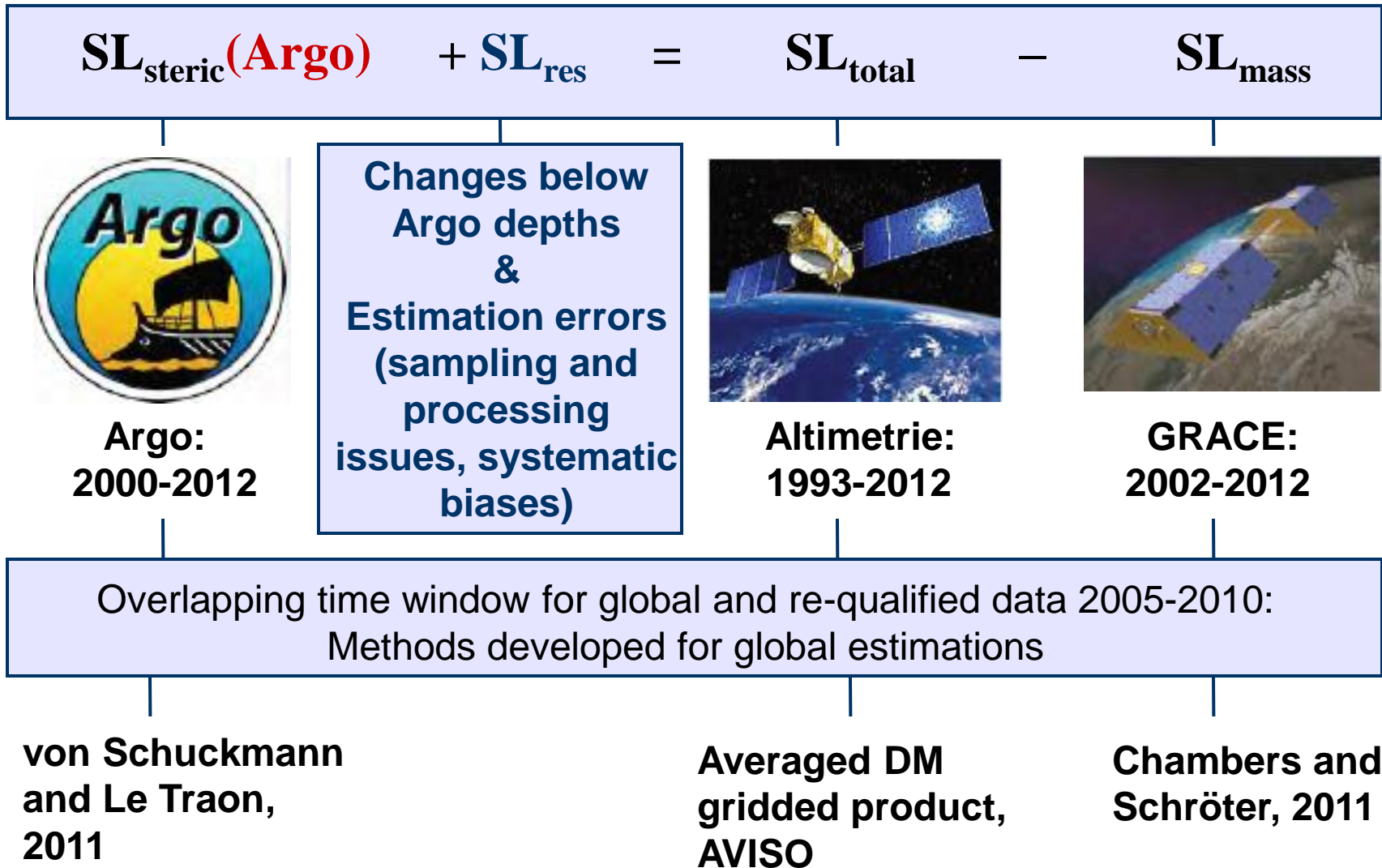


von Schuckmann and Le Traon, 2011  
von Schuckmann et al., 2014

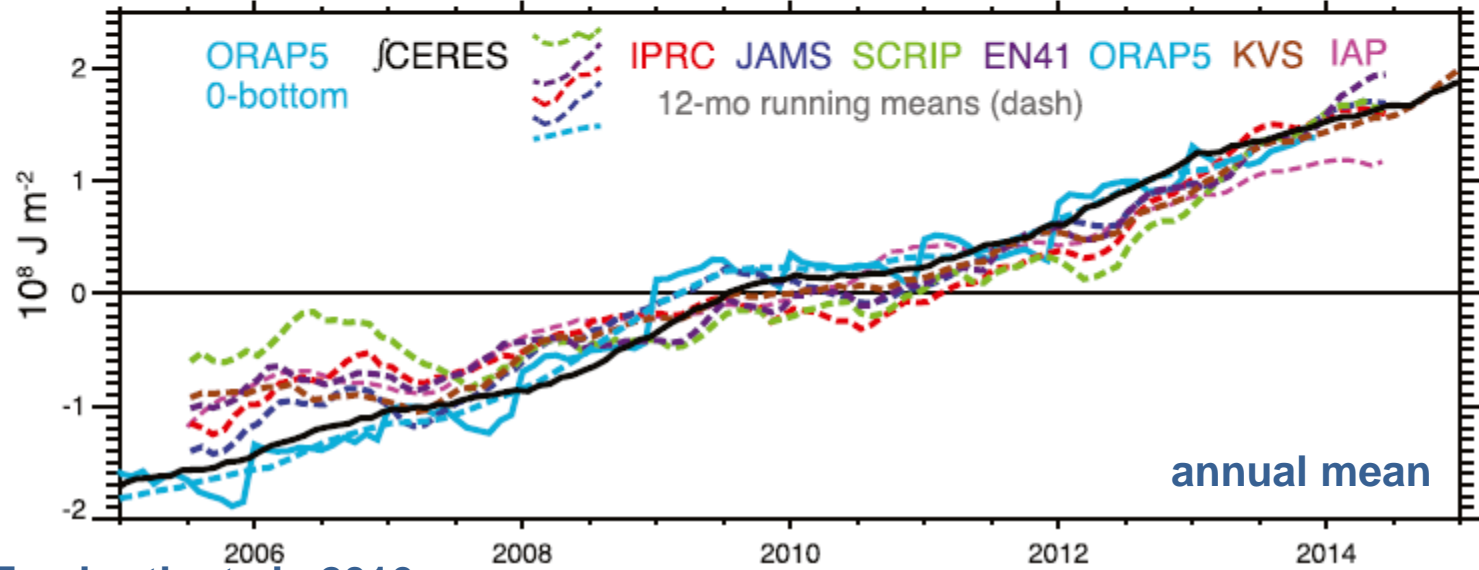
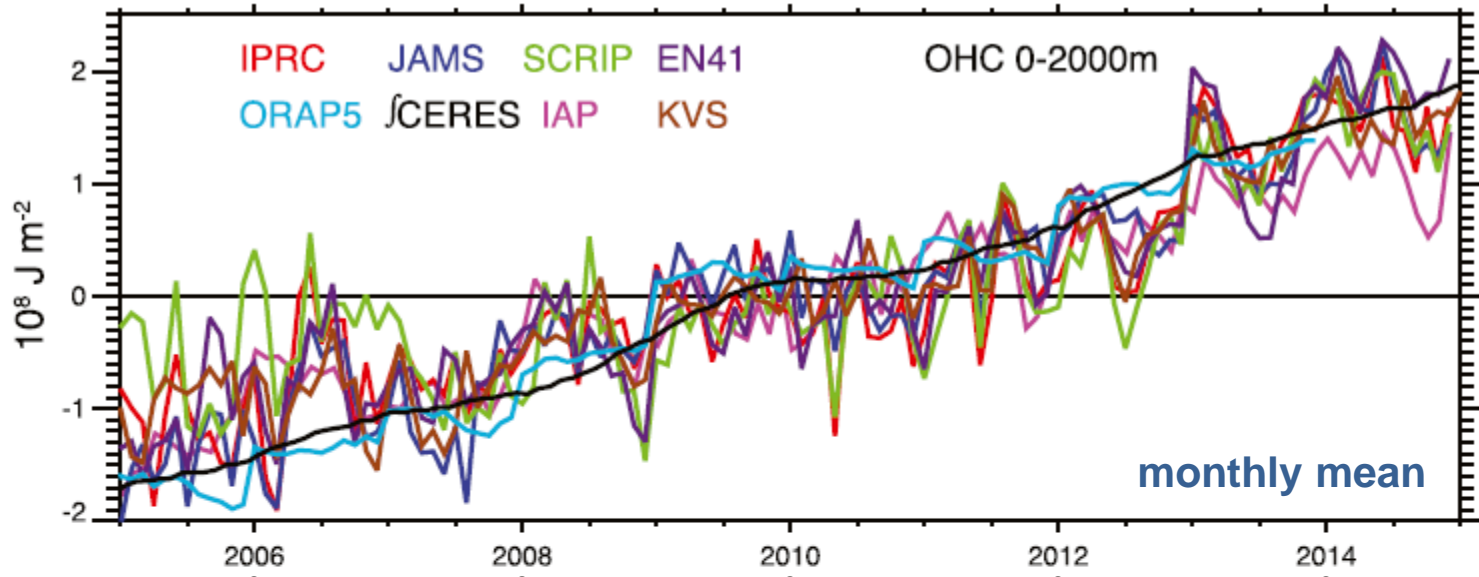
# 1. A reference estimates of box mean temporal changes for OHC

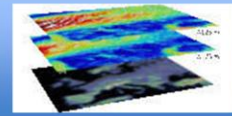
## OHC method validation through physical budget constraint

(von Schuckmann et al., 2014)



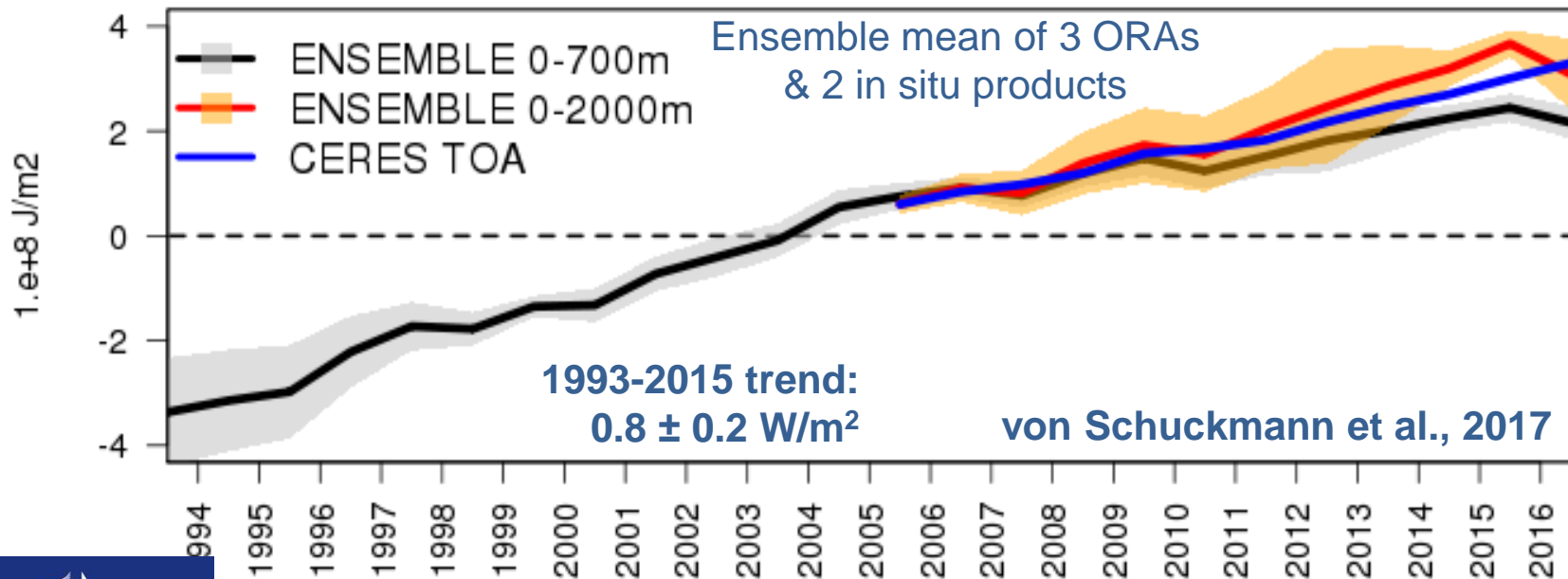
## Comparison to net flux at TOA & Argo based estimates





Further evaluation under the physical budget  
constraint of the Earth energy imbalance

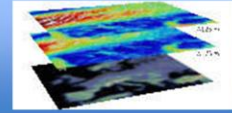
## GLOBAL OCEAN (60S-60N) HEAT CONTENT (0-700m)



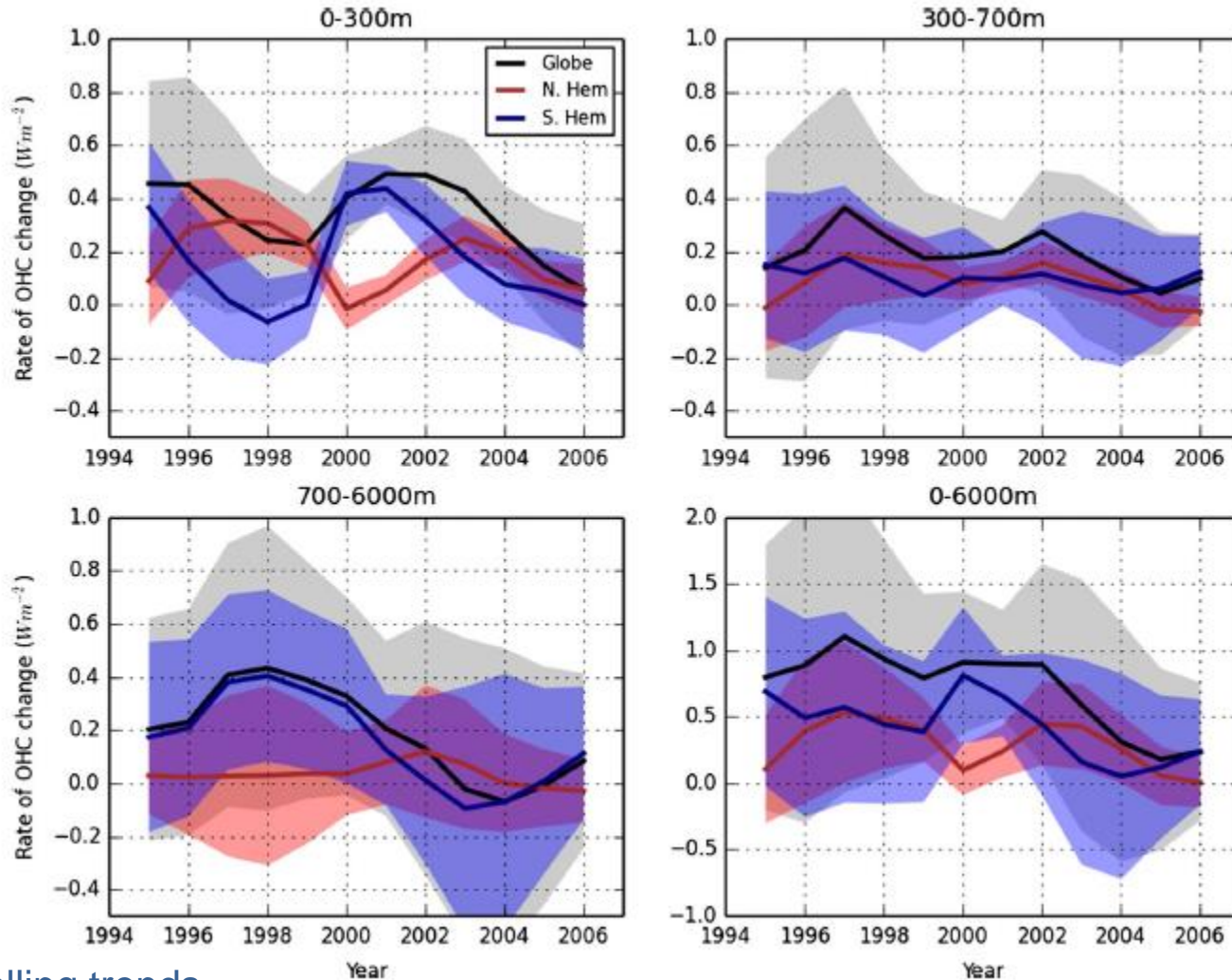
Improved budget constraint closure when changes in  
the 700-2000m depth are taken into account.







## The ORA-IP intercomparison project: OHC



5-year rolling trends  
Ensemble mean of 15 ORAs

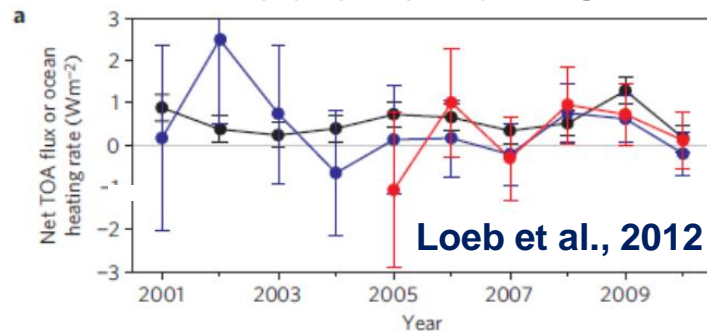
Palmer et al., 2015

# Determining Earth's energy imbalance: Three methods

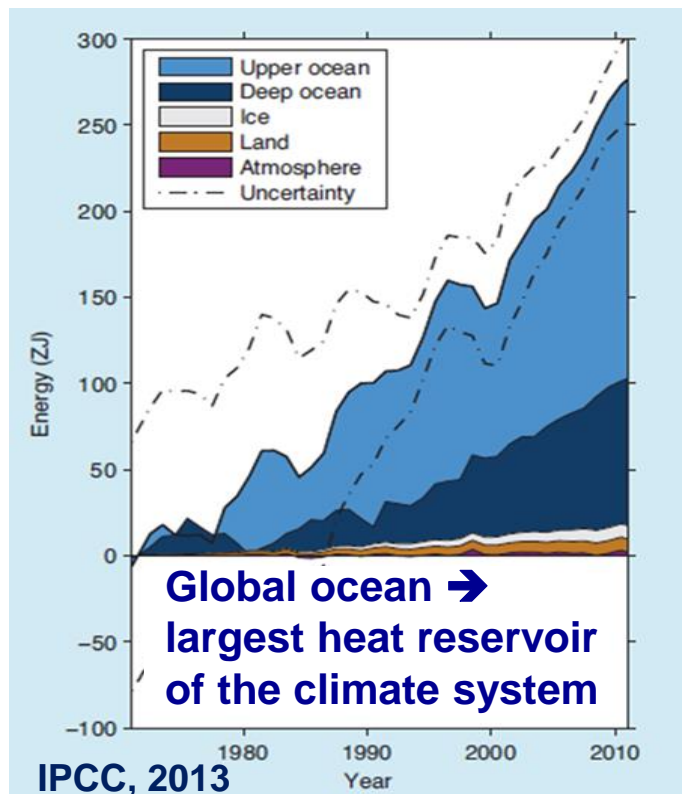


## METHOD I

### Radiation at TOA

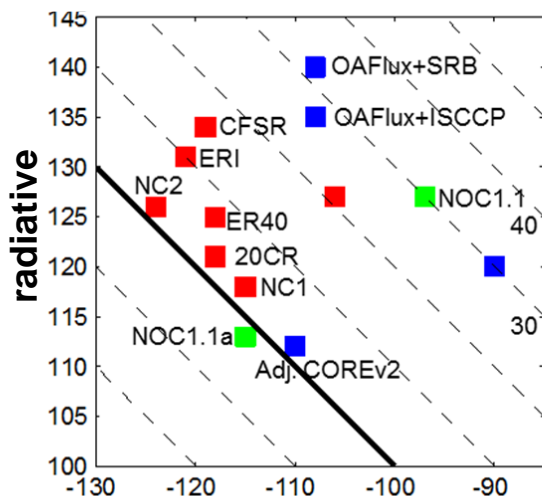


### Ocean Heat Content



## METHOD II

### Air-Sea fluxes



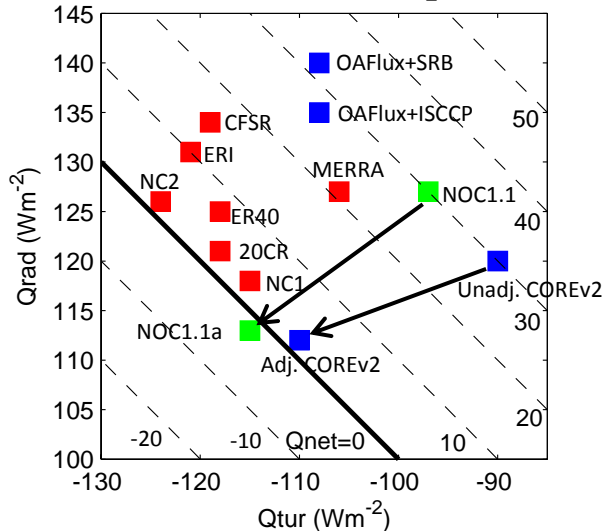
## METHOD III

## Global Heat Budget Closure : Overview

- Wide spread of products → heat budget closure remains a significant problem.
- Satellite net radiative fluxes typically exceed those of other products by 10-20  $\text{Wm}^{-2}$ .

Example showing the wide spread of different products

Global ocean mean heat budget – Radiative vs. Turbulent Components



## Ocean heat budget closure:

- Still a long way from obtaining well-based closure of the budget, products tend to be biased warm by 10-25  $\text{Wm}^{-2}$ .
- Bias is probably due to multiple sources of error

### CONCEPT of REGIONAL BUDGET CONSTRAINTS

Characterizing the uncertainty and biases in fluxes is essential to address scientific challenges related to the Earth Energy budget, energy flows, and understanding the observed shorter-term interannual to decadal fluctuations (e.g., recent “hiatus” period) superimposed on the centennial-scale warming of the global ocean surface

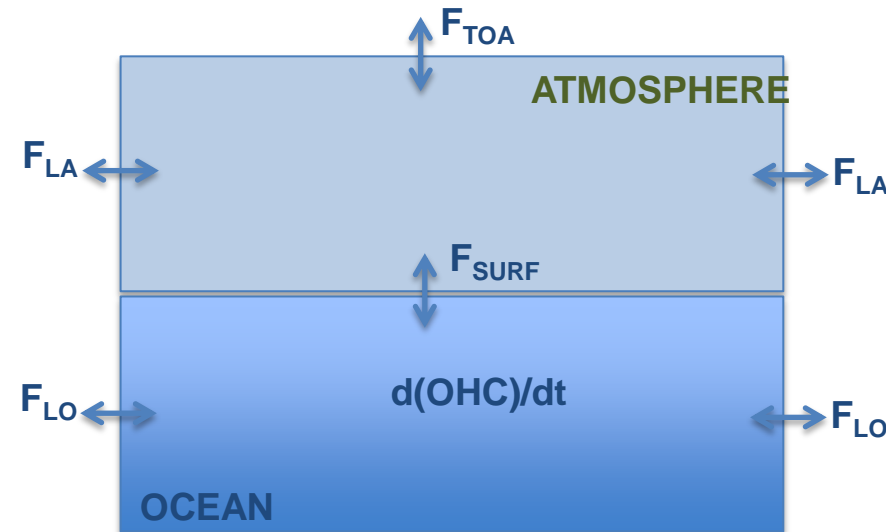
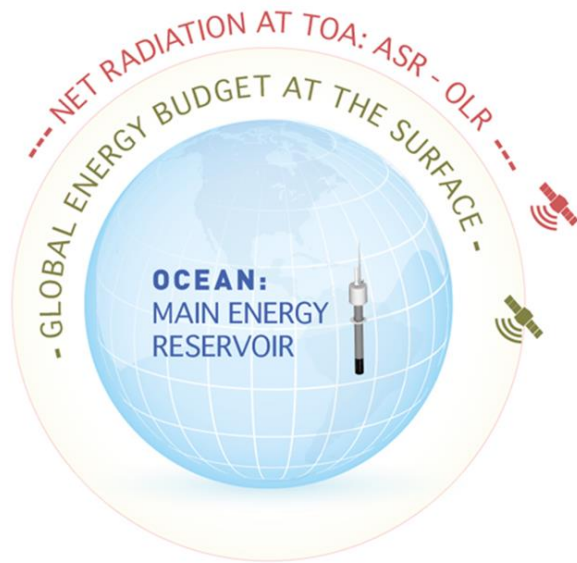


Recommendation to step from “local validation” to “regional validation”

CONCEPT of CAGES (Bretherton et al., 1982; Yu et al., 2012; WCRP, 2013)

→ independent climate observing systems and tools are compared and set into the constraint of the physical budget for each defined ocean box.

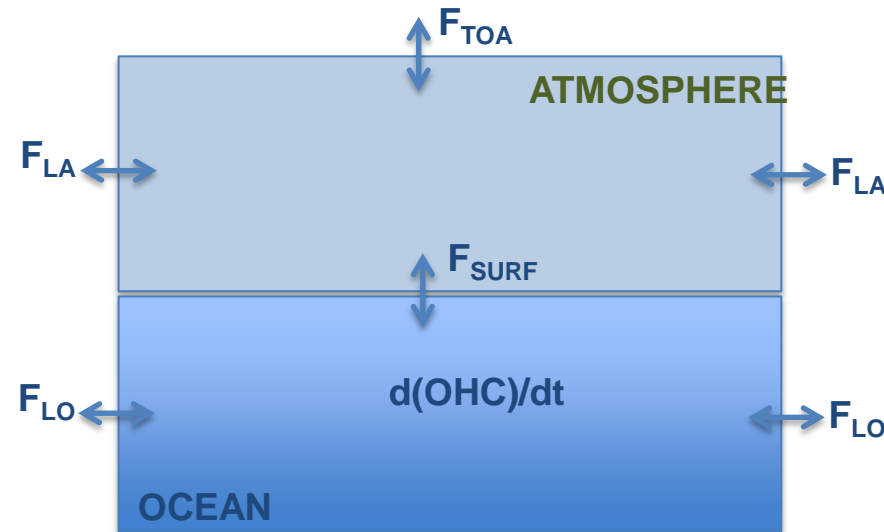
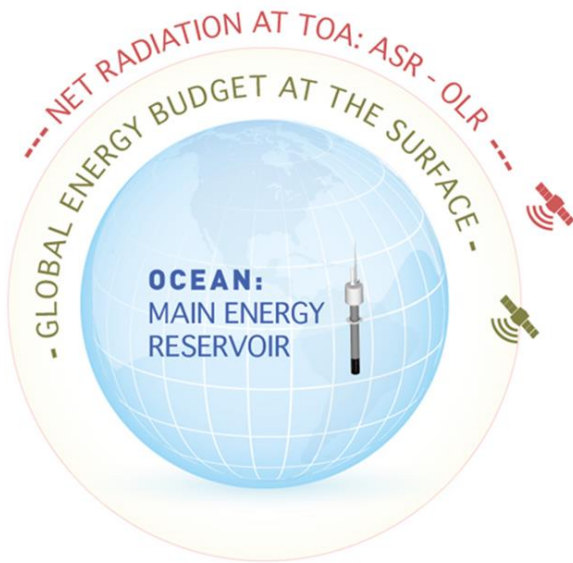
# THE OCEANS' ROLE AS EARTH SYSTEM REGULATOR: RESEARCH ACTIVITIES: NEXT STEPS...



**Example: The net surface heat flux can be evaluated through the rate of change in ocean heat content and ocean divergence**

$$F_s = -dOHC/dt - \nabla \cdot F_o$$

# THE OCEANS' ROLE AS EARTH SYSTEM REGULATOR: RESEARCH ACTIVITIES: NEXT STEPS...

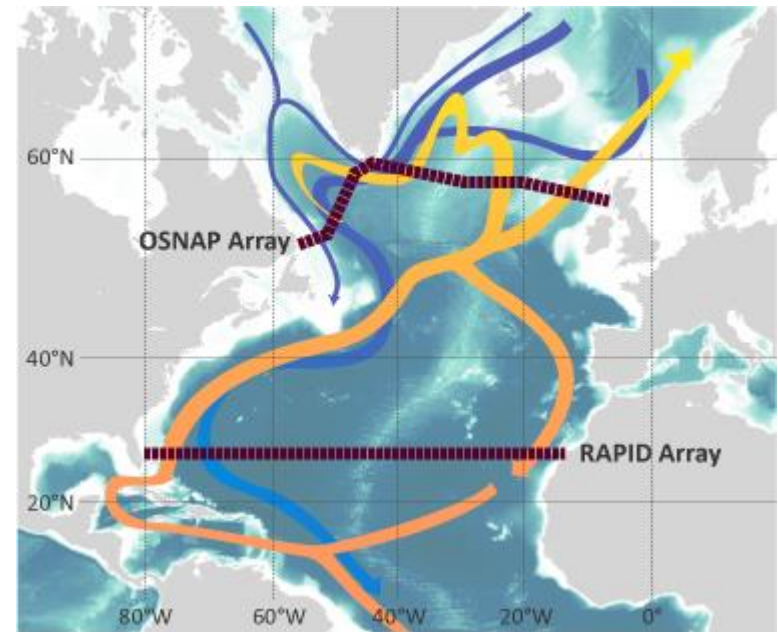
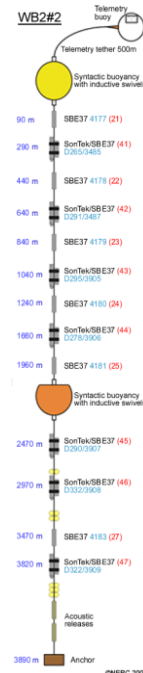


1 DIRECT ESTIMATES

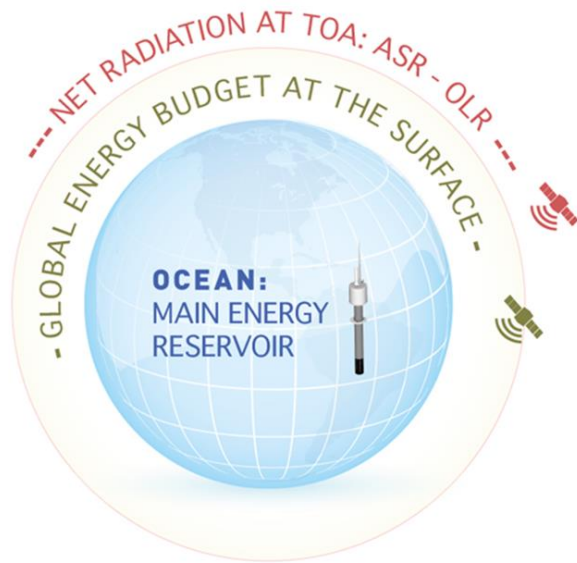
2 INDIRECT ESTIMATES

$$SL_{steric} = SL_{total} - SL_{mass}$$

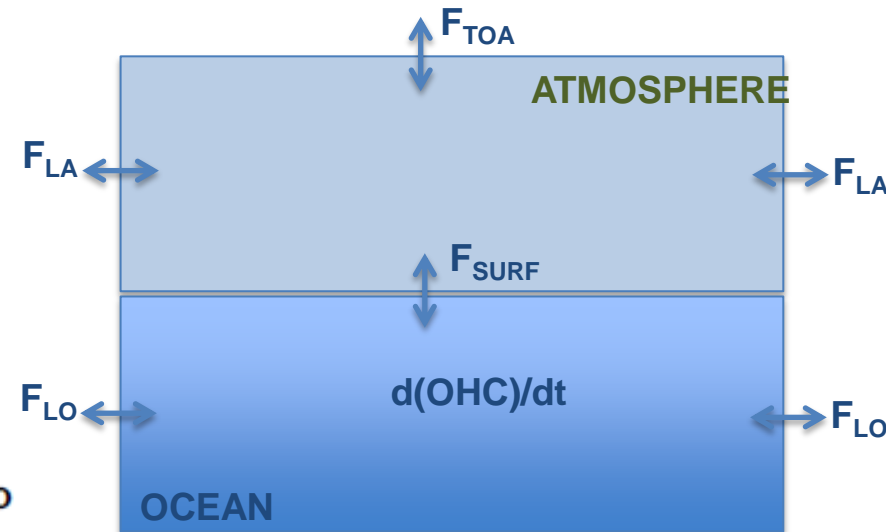
3 OCEAN REANALYSES



# THE OCEANS' ROLE AS EARTH SYSTEM REGULATOR: RESEARCH ACTIVITIES: NEXT STEPS...



$$F_s = -dOHC/dt - \nabla \cdot F_o$$

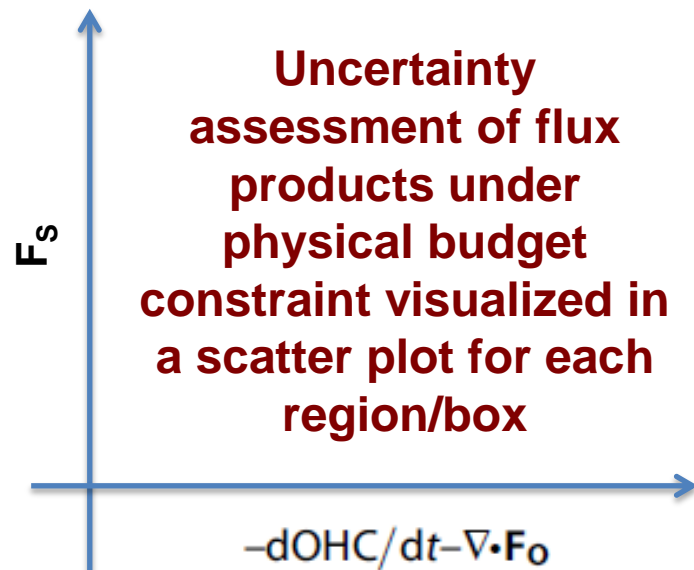


## Apply this to the global ocean

- Division global ocean into boxes (60°S-60°N)
- Temporal resolution: decadal trend (e.g. 2005-2010), and annual averages

## Expected outcome:

- Assessment plots (scatter) of flux products for each region and for different temporal resolution



### Estimates of physical budget components to implement the approach:

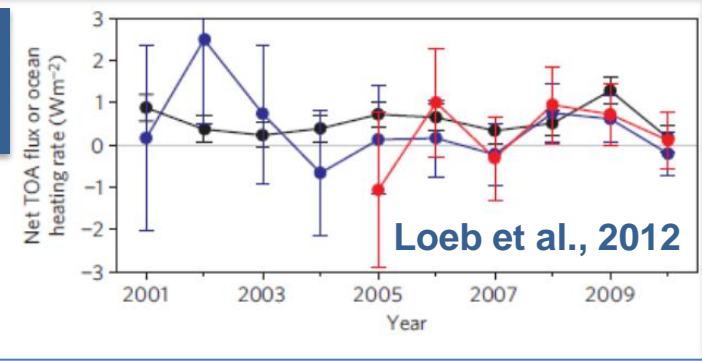
1. a reference estimates of box mean temporal changes for OHC: K. von Schuckmann (MIO): **software & datasets developed**
2. one reference of box mean radiative flux estimate: **data base available**
3. one reference estimate of lateral HF at the boundaries of each box: **Availability through reanalyses products, and scientific framework developed**
4. a set of box mean turbulent fluxes from the “OHF reference data set: **Data base available from OHF**



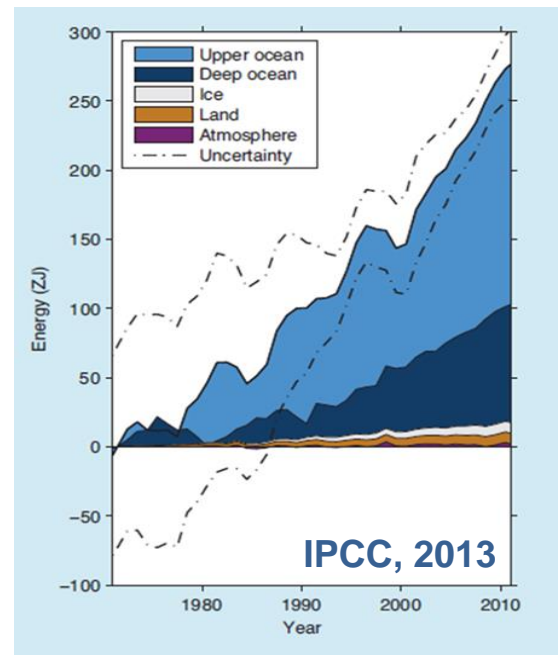
# Determining the Earth energy imbalance...



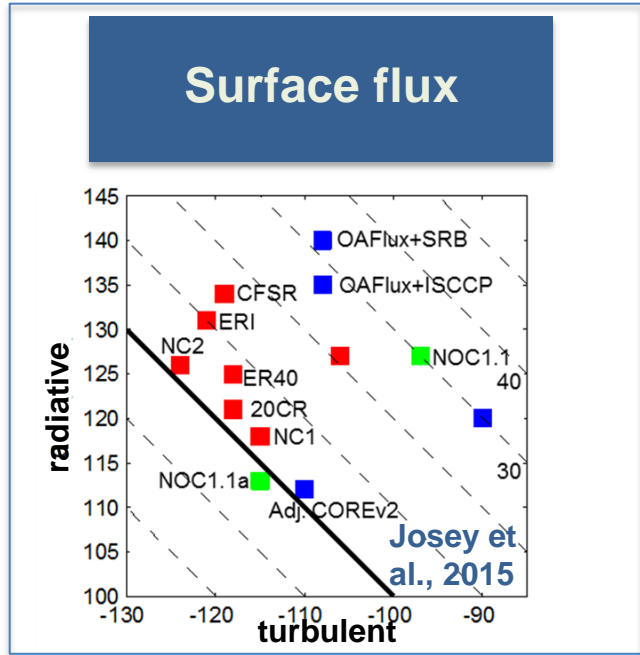
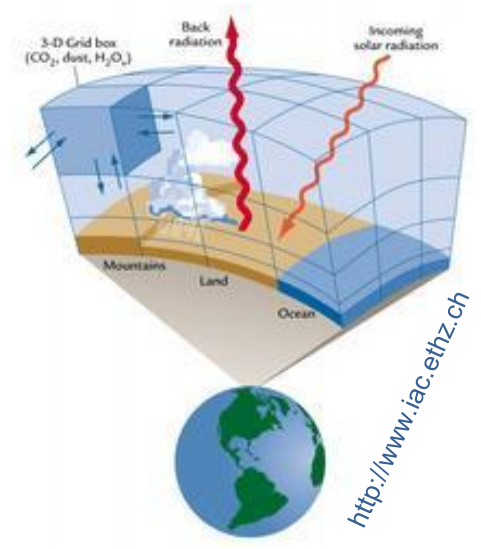
## Radiation at TOA



## Storage inventory (OHC)



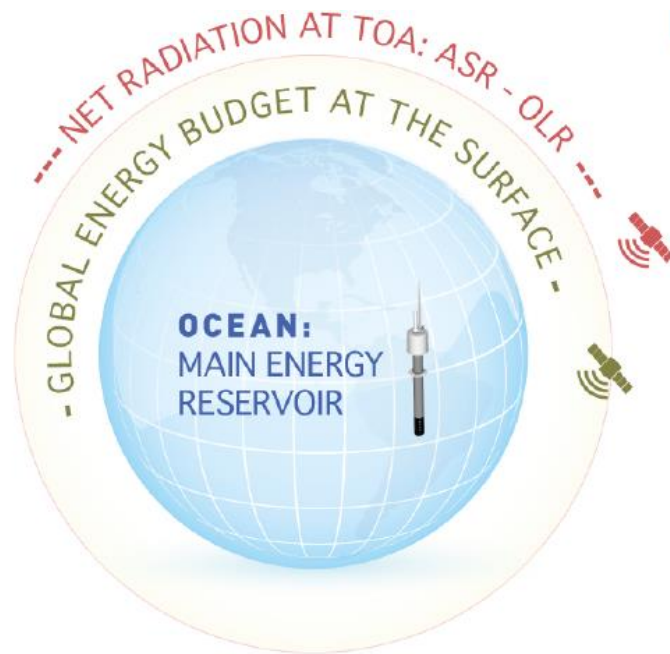
## Hindcast and climate projection



# CLIVAR research focus CONCEPT-HEAT:

## Consistency between planetary energy balance and ocean heat storage

An overall goal is to **bring together different climate research communities** all concerned with the energy flows in the Earth system to advance on the **understanding of the uncertainties through physical budget constraints:**



- Atmospheric radiation
- Ocean Heat Content
- Earth's surface fluxes
- Climate variability and change
- Data assimilation & operational services (R&D)
- Climate projection
- Global sea level

Remote  
sensing

In situ

Reanalysis  
systems

Numerical  
model



## CLIVAR research focus CONCEPT-HEAT:

### Consistency between planetary energy balance and ocean heat storage

More precisely, this **CLIVAR research focus CONCEPT-HEAT** has the **main objective to build up a pluri-disciplinary synergy community for climate research** aiming to work on two different issues:

1. Quantify Earth's energy imbalance, the ocean heat budget, and atmosphere-ocean turbulent and radiative heat fluxes, their observational uncertainty, and their variability for a range of time and space scales using different observing strategies (e.g., in-situ ocean, satellite), reanalysis systems, and climate models.
1. Analyze the consistency between the satellite-based planetary heat balance and ocean heat storage estimates, using data sets and information products from global observing systems (remote sensing and in situ) and ocean reanalysis, and compare these results to outputs from climate models to obtain validation requirements (for model and observations).



23rd CLIVAR SSG  
27.-30. NOV 2017,  
Pune, India

## CONCEPT-HEAT: Consistency between planetary energy balance and ocean heat storage

Name	Institute	Role	Country
Karina von Schuckmann	Mercator Ocean	Co-chair	France
Tristan L'Ecuyer	University of Wisconsin-Madison	Co-chair	USA
Kevin Trenberth	NCAR	Member	USA
Carol Anne Clayson	WHOI	Member	USA
Catia Domingues	IMAS/ACE-CRC	Member	Australia
Sergey Gulev	IORAS	Member	Russia
Keith Haines	UR	Member	UK
Norman Loeb	NASA	Member	USA
Matt Palmer	Met Office	Member	UK
Martin Wild	ETH	Member	Switzerland
Pierre-Philippe Mathieu	ESA	Member	Italy
Robert Weller	WHOI	Member	USA

# CONCEPT-HEAT: OUTCOMES so far...

## Workshops

2013: CLIVAR-ESA  
2014: 1st ISSI meeting  
2014: Pan-CLIVAR session  
2015: 2<sup>nd</sup> ISSI meeting  
2015: CLIVAR C-H  
2017: C-H/GDAP workshop  
2018: CLIVAR/GEWEX workshop

## Scientific collaborations

2014-2015: ISSI working group  
2014-2016 ESA project OHF  
2016-current: global sea level budget  
2016-current: Transport constraints  
2016-current: synthetic profile experiments  
Future: C-H/GDAP collaboration



## Fundraising



## Sessions

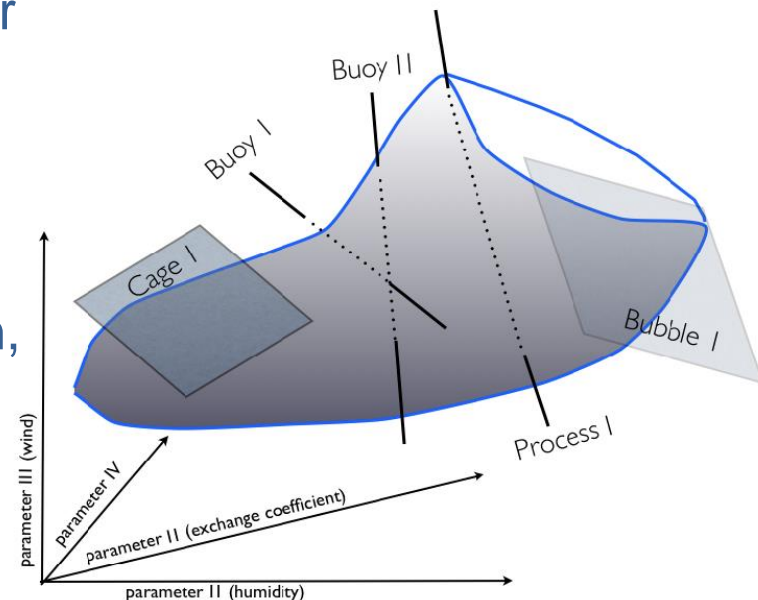
- Pre-COP21: Our common future under climate change
- AGU 2016 & 2017
- Ocean Science 2017
- GEWEX international science conference (MAY 2017)

- 2013: CLIVAR-ESA
- 2014: 1st ISSI meeting
- 2014: Pan-CLIVAR session
- 2015: 2<sup>nd</sup> ISSI meeting
- 2015: CLIVAR C-H
- 2017: C-H/GDAP workshop
- 2018: CLIVAR/GEWEX workshop



## Joint CLIVAR-ESA scientific consultation workshop on: Earth Observations Measurement Constraints on OHC 03.-04. July 2013, University of Reading, UK

Magdalena Balmaseda, Matthew Palmer, Roger Barry, Richard Allan, Keith Haines, Sergey Gulev, Christopher Merchant, Karina von Schuckmann, Tony Lee, Bernard Barnier, Norman Loeb, Anny Cazenave, Andrea Storto, Svetlana Jevrejeva, Liz Kent, Caroline Katsman, Rowan Sutton, Aida Alvera Azcarate, Rainer Hollmann, Bertrand Chapron, Carol Ann Clayson, Pierre-Philippe Mathieu, Diego Fernandez, Gabriel Jordà, Nico Caltabiano, Gregory Johnson, Josh Willis



# WORKSHOPS

## Workshops

- 2013: CLIVAR-ESA
- 2014: 1st ISSI meeting
- 2014: Pan-CLIVAR session
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- 2017: C-H/GDAP workshop
- 2018: CLIVAR/GEWEX workshop



Met Office

## CONCEPT-HEAT workshop Met Office, Exeter (29.09.-01.10.2015)

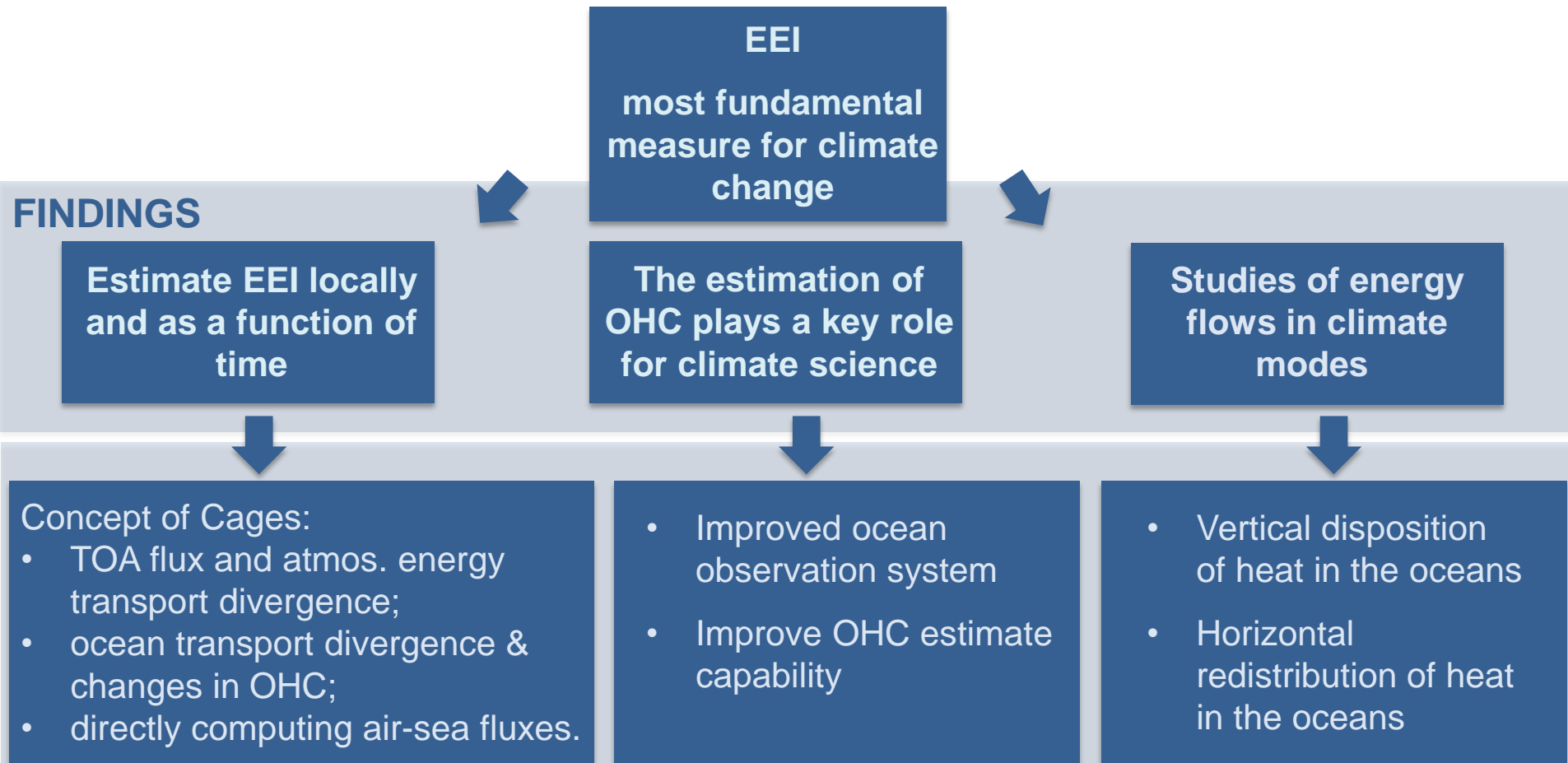




# CLIVAR research focus CONCEPT-HEAT:

## Consistency between planetary energy balance and ocean heat storage

First CONCEPT-HEAT workshop, Met Office, Exeter (29.09.-01.10.2015)



- Development of recommendation letters from CONCEPT-HEAT to different research and operational institutions and structures
- Webpage, summer school, conference session, ...





2013: CLIVAR-ESA  
2014: 1st ISSI meeting  
2014: Pan-CLIVAR session  
2015: 2<sup>nd</sup> ISSI meeting  
2015: CLIVAR C-H  
2017: C-H/GDAP workshop  
2018: CLIVAR/GEWEX workshop

Joint WCRP/CLIVAR/GEWEX:

« Synergy community on the Earth energy imbalance »  
Fall 2018, Toulouse, France

### **Overall goal:**

Strengthen and extend the synergy community on the Earth's energy imbalance aiming to discuss cross-links between the different WCRP core programs, in particular between CLIVAR and GEWEX, but also including CliC.

### **Expected outcomes:**

The workshop will identify research goals and opportunities on the Earth's energy imbalance and global & regional physical budget constraints, and synthesize and focus the various aspects across WCRP. A main outcome may include the discussion and reporting on how the C-H topic could evolve into a WCRP topic.

## NEXT STEPS... CLIVAR CONCEPT-HEAT

- Common CLIVAR / GEWEX workshop in 2018 (Toulouse, France)
- GEWEX (GDAP)/CLIVAR(C-H) in-depth assessment of the Earth Energy Imbalance (to be further discussed during 2018 workshop)
- Regional budget constraint activity (to be further discussed during 2018 workshop)
- Scientific paper on energy storage
- Continued interaction with ongoing initiatives (e.g. OOPC, University of Reading/National Center for Earth Observations)
- Continued interaction with CLIVAR GSOP & DCVP

Thank you.